

29-04-2019

Manager (Listing)	General Manager
National Stock Exchange of India Limited "Exchange Plaza" Bandra Kurla Complex, Bandra (E) Mumbai-400051	Bombay Stock Exchange Limited 1 st Floor, P.J. Towers, Dalal Street Mumbai-400001

Subject: Compliance Certificate under Regulation 40(9) of Securities and Exchange Board of India (Listing Obligation and Disclosures Requirements) Regulation, 2015 for the half year ended 31st March, 2019.

Dear Sir/Mam,

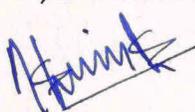
As required under Regulation 40(10) of the SEBI (Listing Obligations and Disclosures Requirements) Regulation, 2015, we enclose herewith a certificate under Regulation 40(9), issued by Registrar & Share Transfer Agent (Karvy Fintech Private Limited) along with certificate issued by Agarwal S. & Associates, Practicing Company Secretaries, for the half year ended 31st March, 2019.

Kindly take it on record.

Thanking You

Yours faithfully

For, **SPL Industries Limited**


Ashish Yadav
(Company Secretary)



R:/KFPL/SPI/TRF/40(9)
DATE:06/04/2019

SPL Industries ltd
202, IIND FLOOR
VIKRAMADITYA TOWER
ALAKNANDA MARKET
KALKAJI, NEW DELHI
110005

Dear Sir/Madam

Please find enclosed herewith statement of transfers with regard to Regulation 40(9) of the Listing obligation & Disclosure Regulations, Regulation 2015 for the half year ended 31/03/2019. You are requested to file the same immediately with Stock Exchange where your Company's Shares are listed

Thanking You

Yours faithfully,
for Karvy Fintech Private Limited.


Suresh Babu D
Deputy Manager

SPL INDUSTRIES LIMITED															
TRANSFERS PROCESSED/REJECTED/NOL FROM 01/10/2018 TO 31/03/2019															
SNO	MIN.TNO	MAX.TNO	RECEIVED			PROCESSED			APP DATE	REJECTIONS			NOL		
			CASES	SHARES	CERT (S)	CASES	SHARES	CERT (S)		CASES	SHARES	CERT (S)	CASES	SHARES	CERT (S)
1	500769	500782	14	14	14	14	14	14	29/11/2018						
2	500783	500784	2	2	2	1	1	1	31/12/2018	1	1	1			
3	500785	500787	3	869900	3					3	869900	3			
4	500788	500792	5	5	5	5	5	5	09/03/2019						
	TOTAL:		24	869921	24	20	20	20		4	869901	4	0	0	0





CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA
(LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATION, 2015
FOR THE HALF YEAR ENDED 31st MARCH, 2019

We have examined all Share Transfer forms, Memorandum of Transfers, Registers, Files and other documents relating to **SPL Industries Limited** having its Registered Office at Office No-202, IInd Floor, Vikramaditya Tower, Alaknanda Market, Kalkaji, Delhi - 110019 as maintained by Karvy Fintech Private Limited, Registrar and Share Transfer Agents of the Company (RTA), for physical work & electronic connectivity) pertaining to transfer of equity shares of the Company for the period from **01st October, 2018 to 31st March, 2019** and based on compliance certificate/ information received from RTA, for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulation, 2015, we hereby certify that the Company has delivered during the half year ended on 31st March, 2019:

- A) Share Certificate(s) relating to the Share Transfer forms received during the period from **01st October, 2018 to 31st March, 2019**, as entered in the Memorandum of Transfers have been issued within one month from the respective date of lodgement of each form excepting those rejected on technical grounds.
- B) Share Certificates in respect of requests for exchange of Duplicate / Split / Consolidation of equity share certificate(s) etc. during the period from **01st October, 2018 to 31st March, 2019** have been issued within one month of lodgement. However, as per the records made available to us, there was no request relating to exchange of Duplicate / Split / Consolidation of equity share certificate(s) etc. was received during the said period by the Company.

For Agarwal S. & Associates
Company Secretaries



Anuradha
CS Anuradha Jain
Partner
ACS No.: 36639
C.P No.: 14180

Date: 29.04.2019
Place: New Delhi