

24.10.2018

Manager (Listing)	General Manager
National Stock Exchange of India Limited "Exchange Plaza" Bandra Kurla Complex Bandra (E) Mumbai-400051	Bombay Stock Exchange Limited 1 st Floor, P.J. Towers, Dalal Street Mumbai-400001

Subject: Compliance Certificate under Regulation 40(9) of Securities and Exchange Board of India (Listing Obligation and Disclosures Requirements) Regulation, 2015 for the half year ended 30th September, 2018

Dear Sir/Mam,

As required under Regulation 40(10) of the SEBI (Listing Obligations and Disclosures Requirements) Regulation, 2015, we enclose herewith a certificate under Regulation 40(9), issued by Registrar & Share Transfer Agent (Karvy Computershare Private Limited) along with certificate issued by Agarwal S. & Associates, Practicing Company Secretaries, for the half year ended 30th September, 2018.

Kindly take it on record.

Thanking You

Yours faithfully

For, **SPL Industries Limited**




Ashish Yadav

Company Secretary & Compliance Officer



CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA
(LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATION, 2015
FOR THE HALF YEAR ENDED 30th SEPTEMBER, 2018

We have examined all Share Transfer forms, Memorandum of Transfers, Registers, Files and other documents relating to **SPL Industries Limited** having its Registered Office at C-2/54, 5th Floor, Rajasthali Appartments, Pitampura, Delhi- 110034 as maintained by Karvy Computershare Private Limited, Registrar and Share Transfer Agents of the Company (RTA), for physical work & electronic connectivity) pertaining to transfer of equity shares of the Company for the period from **01st April, 2018 to 30th September, 2018** and based on compliance certificate/ information received from RTA, for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulation, 2015, we hereby certify that the Company has delivered during the half year ended on 30th September, 2018:

- A) Share Certificate(s) relating to the Share Transfer forms received during the period from **01st April, 2018 to 30th September, 2018**, as entered in the Memorandum of Transfers have been issued within one month from the respective date of lodgement of each form excepting those rejected on technical grounds.
- B) Share Certificates in respect of requests for exchange of Duplicate / Split / Consolidation of equity share certificate(s) etc. during the period from **01st April, 2018 to 30th September, 2018** have been issued within one month of lodgement. However, as per the records made available to us, there was no request relating to exchange of Duplicate / Split / Consolidation of equity share certificate(s) etc. was received during the said period by the Company.

For Agarwal S. & Associates
Company Secretaries



Karishma Singh

CS Karishma Singh
Partner
ACS No.: 26054
C.P No.: 16055

Date: 23.10.2018
Place: New Delhi

R:/KCPL/SPI/TRF/40(9)
DATE:22/10/2018

SPL INDUSTRIES LIMITED
C-2/54, 5TH FLOOR,
RAJASTHALI APARTMENTS,
PITAMPURA,
NEW DELHI - 110034

Dear Sir/Madam

Please find enclosed herewith statement of transfers with regard to Clause 40(9) of the LISTING AGREEMENT during the half year ended 30/09/2018.

You are requested to file the same immediately with Stock Exchange where your Company's Shares are listed

Thanking You

Yours faithfully,
for **KARVY COMPUTERSHARE (P) LIMITED**



D SURESH BABU
DEPUTY MANAGER



Karvy Computershare Private Limited

Corporate office: "Karvy Selenium Tower B", Plot No. 31 & 32, Financial District, Nanakramguda, Gachibowli, Hyderabad- 500 032. Telangana, INDIA. T: +91-40-67162222, 33211000, F: +91-40-23420814, e-mail: support@karvy.com

Registered Office: Karvy House, 46, Avenue 4, Street No.1, Banjara Hills, Hyderabad - 500 034.
T: +91-40-2331-2454/2332-0751/752/251 | F: +91-40-2331-1968 | www.karvy.com | www.karvycomputershare.com | CIN: U72400TG2003PTC041636

SPL INDUSTRIES LIMITED**TRANSFERS PROCESSED FROM 01/01/2018 TO 30/092018**

SNO	MIN.TNO	MAX.TNO	RECEIVED			PROCESSED			APP DATE
			CASES	SHARES	CERT (S)	CASES	SHARES	CERT (S)	
1	500668	500723	56	56	56	56	56	56	13/08/2018
2	500724	500745	22	24	24	22	24	24	13/08/2018
3	500746	500746	01	01	01	01	01	01	12/10/2018
4	500747	500756	10	10	10	10	10	10	12/10/2018
5	500757	500768	12	12	12	12	12	12	12/10/2018
TOTAL:			101	103	103	101	103	103	

