



SPL Industries Ltd.
A Three Star Export House

Plot No. 21, Sector-6
Faridabad-121 006 (Haryana), INDIA
Tel. : 91-129-2240411, 2306700
2306783

22.10.2016.

Manager (Listing)	General Manager
National Stock Exchange of India Limited "Exchange Plaza" Bandra Kurla Complex Bandra (E) Mumbai-400051	Bombay Stock Exchange Limited 1 st Floor, P.J. Towers, Dalal Street Mumbai-400001

Subject: Compliance Certificate under Regulation 40(10) of Securities and Exchange Board of India (Listing Obligation and Disclosures Requirements) Regulation, 2015 for the half year ended 30th September, 2016

Dear Sir,

As required under Regulation 40(10) of the SEBI (Listing Obligations and Disclosures Requirements) Regulation, 2015, we enclose herewith a certificate, issued by Agarwal S. & Associates, Practicing Company Secretary, for the half yearly ended 30th September, 2016.

Thanking You

Yours faithfully

For, **SPL Industries Limited**


Kirti

Company Secretary & Compliance Officer

AGARWAL S. & ASSOCIATES

COMPANY SECRETARIES

CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATION AND DISCLOSURE REQUIREMENTS) REGULATION, 2015

FOR THE HALF YEAR ENDED 30TH SEPTEMBER, 2016

We have examined share Transfer Deeds, Memorandum of Transfers, Registers, Files and other documents relating to SPL Industries Limited as maintained by Karvy Computer Shares Private Limited, Registrar and Share Transfer Agents of the Company, pertaining to transfer of equity shares of the Company for the period from 01st April, 2016 to 30th September, 2016 and based on compliance certificate received from RTA for the purpose of issuing a Certificate as per Regulation 40(9) of Securities And Exchange Board Of India (Listing Obligation And Disclosure Requirements) Regulation, 2015 and based on the information provided by the Company, We hereby certify that:

A) 1.a. the Company has delivered during half year ended on 30th September, 2016 share certificate relating to the transfer of shares received during the period from 01st April, 2016 to 30th September, 2016, within 30 days, as entered in the Memorandum of Transfers from the respective date of lodgment of each deed expecting those rejected on technical grounds;

1.b. the Company has delivered during half year ended on 30th September, 2016, share certificate in respect of request relating to sub division (split), renewal and consolidation received during the period from 01st April, 2016 to 30th September, 2016, within thirty days from the respective date of lodgment expecting those rejected on technical grounds. As per the records made available to us there were no request relating to exchange or endorsement of calls/ allotment monies received during the said period.

B) Any other information, if applicable-N.A.

For Agarwal S. & Associates



A handwritten signature in black ink, appearing to read "Sachin Agarwal".

(Sachin Agarwal)
Partner
FCS No.: 5774
C.P No.: 5910

Date: 22.10.2016
Place: New Delhi