

13<sup>th</sup> April, 2023

<p>Listing Department</p> <p><b>National Stock Exchange of India Limited</b></p> <p>Exchange Plaza, Bandra- Kurla Complex, Bandra (E), Mumbai 400051</p> <p><b>NSE Symbol: SPLIL</b></p>	<p>Listing Department</p> <p><b>BSE Limited</b></p> <p>Phirozee Jeejeebhoy Towers, Dalal Street, Fort, Mumbai - 400 001</p> <p><b>Scrip Code: 532651</b></p>
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**Subject- Compliance Certificate u/r 76 of SEBI (Depositories and Participants) Regulation, 2018 for quarter ended March 31<sup>st</sup>, 2023**

Dear Sir/Madam,

As required under Regulation 76 of the SEBI (Depositories and Participants) Regulation, 2018, we enclose here with certificate, issued by M/s Agarwal S. & Associates, Practicing Company Secretaries, for the quarter ended 31<sup>st</sup> March, 2023, for your information and record.

Truly Yours

For, **SPL Industries Limited**

Vishal  
Srivastava

Digitally signed by Vishal Srivastava  
DN: c=IN, st=Rajasthan,  
2.5.4.20=a6d8dc19147e6a46b64bfddfc7ee1a65  
efdc8468d27b8765a724164825be,  
postalCode=303012, street=babajiya ka  
mahalla, givenName=naayla va kanats, Naayla,  
Jaipur,  
pseudonym=c5c4b18c71543Sab475ef35da50b  
a97,  
serialNumber=52038266e9ef7fa30d4065b4e4d  
d47d9566755824ef5b207993b517ff1ae,  
o=Personal, cn=Vishal Srivastava  
Date: 2023.04.13 03:46:34 +05'30"

Vishal Srivastava  
(Company Secretary &  
Compliance Officer)



### RECONCILIATION OF SHARE CAPITAL AUDIT

1.	For the Quarter ended	<b>31<sup>ST</sup> MARCH, 2023</b>					
2.	ISIN No.	<b>INE978G01016</b>					
3.	Face Value	<b>Equity Shares – Rs.10/- Each</b>					
4.	Name of the Company	<b>SPL Industries Limited</b>					
5.	Registered Office Address	<b>Office No. 202, IInd Floor, Vikramaditya Tower, Alaknanda Market, Kalkaji, New Delhi- 110019</b>					
6.	Correspondence Address	<b>Plot No. 21, Sector 6, Faridabad – 121006, Haryana</b>					
7.	Telephone & Fax No(s)	<b>011-42427643; 0129-4282375</b>					
8.	E-mail Address	<b>cs@spllimited.com</b>					
9.	Names of the Stock Exchanges where the Company’s Securities listed	<b>Equity Shares at National Stock Exchange of India Limited and BSE Limited</b>					
		<b>Number of Shares</b>		<b>% of Total Issued Capital</b>			
10.	Issued Capital	<b>2,90,00,004</b>		<b>100 %</b>			
11.	Listed Capital (Exchange-wise) (as per Company records)						
	A) BSE Limited, Mumbai	<b>2,90,00,004</b>		<b>100 %</b>			
	B) National Stock Exchange of India Limited, Mumbai	<b>2,90,00,004</b>		<b>100 %</b>			
12.	Held in Dematerialized Form in CDSL	<b>38,04,095</b>		<b>13.12%</b>			
13.	Held in Dematerialized Form in NSDL	<b>2,43,25,310</b>		<b>83.88%</b>			
14.	Physical	<b>8,70,599</b>		<b>3.00%</b>			
15.	Total number of Shares (12+13+14)	<b>2,90,00,004</b>		<b>100.00%</b>			
16.	Reason for difference, if any, between (10 & 11), (10 & 15), (11 & 15)						
	<b>Not Applicable</b>						
17.	Certifying the details of changes in share capital during the quarter under consideration as per Table below: <b>Not Applicable</b>						
	<b>Particulars ***</b>	<b>No. of Shares</b>	<b>Applied / Not applied for listing</b>	<b>Listed on Stock Exchanges (specify names)</b>	<b>Whether intimated to CDSL</b>	<b>Whether intimated to NSDL</b>	<b>In-principle approval pending for SE (specify names)</b>
	***Rights, Bonus, Preferential Issue, ESOPs, Amalgamation, Conversion, Buyback, Capital Reduction, Forfeiture, Any other (to specify)						
18.	Register of Members is updated ( <b>Yes / No</b> ) If not, updated up to which date				<b>YES</b>		
19.	Reference of previous quarter with regards to excess dematerialized shares, if any.				<b>Not Applicable</b>		

20.	Has the company resolved the matter mentioned in point No. 19 above in the current quarter? If not, reason why?		Not Applicable	
21.	Mentioned the total Number of requests, if any, confirmed after 21 days and the total Number of requests pending beyond 21 days with the reason for delay			
	Total Number of DEMAT Requests	No. of Requests	No. of Shares	Reason for Delay
	Confirmed after 21 days	Nil	Nil	Not Applicable
	Pending for more than 21 days	Nil	Nil	Not Applicable
22.	Name, Telephone & Fax No. of the Compliance Officer of the Company		CS Vishal Srivastava Company Secretary Ph: - 8502907231	
23.	Name, Address, Telephone & Fax Number and Registration No. of the Auditor		CS Garima Grover, Partner Membership No. ACS 27100/CP No. 23626 Agarwal S. & Associates, Company Secretaries, D-427, 2 <sup>nd</sup> Floor, Palam Extn., Ramphal Chowk, Sector 7, Dwarka, New Delhi-110075 Telephone No.: 011-45052182	
24.	Appointment of Common Agency for Share Registry work, if Yes (Name & Address)		KFin Technologies Limited {formerly known as KFin Technologies Private Limited} Selenium Building Tower-B, Plot No. 31&32, Financial District, Nanakramguda, Serilingampally, Hyderabad, Rangareddy, Telangana – 500032. Telephone : 040 –67162222 E-mail: elnward.ris@kfintech.com	
25.	Any other detail that the Auditor / Company Secretary may like to provide (e.g. BIFR Company, delisting from SE, Company changed its name etc.)		Not Applicable	

**FOR AGARWAL S. & ASSOCIATES**

Company Secretaries  
ICSI Unique Code: P2003DE049100  
Peer Review Cert. No.: 2725/2022

**GARIMA GROVER** Digitally signed by  
GARIMA GROVER  
Date: 2023.04.13  
11:31:48 +05'30'

**CS Garima Grover  
Partner**

**ACS:27100  
CP:23626**

**Place:** New Delhi  
**Date:** 13.04.2023  
**UDIN:** A027100E000080274

R:/KFIN/SPI/AUDIT/55A  
DATE:11/04/2023

THE COMPANY SECRETARY  
SPL INDUSTRIES LIMITED  
202, IIND FLOOR  
VIKRAMADITYA TOWER  
ALAKNANDA MARKET  
KALKAJI, NEW DELHI  
110019

Dear Sir/Madam

This is in accordance with the circular No.d&cc/fittc/cir-16/2002 dated 31.12.2002 issued by Securities & Exchange Board of India advising Issuer/Listed Companies for a quarterly Reconciliation of share capital Audit. We confirm as follows for the quarter ended 31/03/2023.

1. That the Total issued capital of the company is 29000004 Equity Shares of Rs.10/- each, out of which:
  - a) 351 Shareholders representing 870599 Equity Shares constituting 3.00% of the subscribed and paid up capital are held in Physical Form.
  - b) 5557 Shareholders representing 24325310 Equity Shares constituting 83.88% of the subscribed and paid up capital are held in Electronic Form through NSDL.
  - c) 10004 Shareholders representing 3804095 Equity Shares constituting 13.12% of the subscribed and paid up capital are held in Electronic Form through CDSL.
2. We confirm that the register of members is being maintained in Electronic Form only and the same is up to date.
3. All the Dematerialisation requests received during the period under report were confirmed within 21 days.

Thanking You

Yours faithfully,  
for KFIN TECHNOLOGIES LIMITED  
(Formerly known as KFin Technologies Private Limited)



Raj Kumar Kale  
Asst. Vice President

**KFin Technologies Limited**  
(Formerly known as KFin Technologies Private Limited)

**Registered & Corporate Office:**  
Selenium Building, Tower-B, Plot No- 31 & 32, Financial District, Nanakramguda,  
Serilingampally, Hyderabad, Rangareddi, Telangana, India, 500032.

**CIN: L72400TG2017PLC117649**