

25th April, 2018

Manager (Listing)	General Manager
National Stock Exchange of India Limited "Exchange Plaza" Bandra Kurla Complex Bandra (E) Mumbai-400051	Bombay Stock Exchange Limited 1 st Floor, P.J. Towers, Dalal Street Mumbai-400001

Subject: Compliance Certificate under Regulation 40(9) of Securities and Exchange Board of India (Listing Obligation and Disclosures Requirements) Regulation, 2015 for the half year ended 31st March, 2018

Dear Sir/Mam,

As required under Regulation 40(10) of the SEBI (Listing Obligations and Disclosures Requirements) Regulation, 2015, we enclose herewith a certificate under Regulation 40(9), issued by Registrar & Share Transfer Agent (Karvy Computershare Private Limited) along with certificate issued by Agarwal S. & Associates, Practicing Company Secretaries, for the half year ended 31st March, 2018.

Kindly take it on record.

Thanking You

Yours faithfully

For, SPL Industries Limited


Ashish Yadav

Company Secretary & Compliance Officer



R:/KCPL/SPI/TRF/40(9)
DATE:24/04/2018

SPL INDUSTRIES LIMITED
3RD FLOOR,5/66
PADAMSINGH ROAD
KAROL BAGH
NEW DELHI
110005

Dear Sir/Madam

We hereby certify that during the past 6 months there were No transfers received for registration for the half year period ending 31st March, 2018. You are requested to file the same immediately with Stock Exchange where your Company's shares are listed

Thanking You

Yours faithfully,
for KARVY COMPUTERSHARE (P) LIMITED



Suresh Babu D
Deputy Manager



Karvy Computershare Private Limited

Corporate office: "Karvy Selenium Tower B", Plot No. 31 & 32, Financial District, Nanakramguda, Gachibowli, Hyderabad- 500 032. Telangana, INDIA. T: +91-40-67162222, 33211000, F: +91-40-23420814, e-mail: support@karvy.com

Registered Office: Karvy House, 46, Avenue 4, Street No.1, Banjara Hills, Hyderabad - 500 034.
T: +91-40-2331-2454/2332-0751/752/251 | F: +91-40-2331-1968 | www.karvy.com | www.karvycomputershare.com | CIN: U72400TG2003PTC041636



Karvy Computershare Private Limited

Corporate office: "Karvy Selenium Tower B", Plot No. 31 & 32, Financial District, Nanakramguda, Gachibowli, Hyderabad- 500 032. Telangana, INDIA. T: +91-40-67162222, 33211000, F: +91-40-23420814, e-mail: support@karvy.com

Registered Office: Karvy House, 46, Avenue 4, Street No.1, Banjara Hills, Hyderabad - 500 034.
T: +91-40-2331-2454/2332-0751/752/251 | F: +91-40-2331-1968 | www.karvy.com | www.karvycomputershare.com | CIN: U72400TG2003PTC041636

Australia | Bahrain | Canada | Channel Islands | Germany | Hong Kong | Ireland | New Zealand | Philippines | South Africa | United Kingdom | USA



CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA
(LISTING OBLIGATION AND DISCLOSURE REQUIREMENTS) REGULATION, 2015
FOR THE HALF YEAR ENDED 31ST MARCH, 2018

We have examined all Share Transfer forms, Memorandum of Transfers, Registers, Files and other documents relating to **SPL Industries Limited** having its Registered Office at C-2/54, 5th Floor, Rajasthali Appartments, Pitampura, Delhi- 110034 as maintained by Karvy Computershare Private Limited, Registrar and Share Transfer Agents of the Company (RTA), for physical work & electronic connectivity) pertaining to transfer of equity shares of the Company for the period from **01st October, 2017 to 31st March, 2018** and based on compliance certificate/ information received from RTA, for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligation and Disclosure Requirements) Regulation, 2015, we hereby certify that the Company has delivered during the half year ended on 31st March, 2018:

- A) Share Certificate(s) relating to the Share Transfer forms received during the period from **01st October, 2017 to 31st March, 2018**, as entered in the Memorandum of Transfers have been issued within one month from the respective date of lodgement of each form excepting those rejected on technical grounds. However, as per the records made available to us, there was no request relating to registration of transfer of share was received during the said period by the Company.
- B) Share Certificates in respect of requests for exchange of Duplicate / Split / Consolidation of equity share certificate(s) etc. during the period from **01st October, 2017 to 31st March, 2018** have been issued within one month of lodgement. However, as per the records made available to us, there was no request relating to exchange of Duplicate / Split / Consolidation of equity share certificate(s) etc. was received during the said period by the Company.

**For Agarwal S. & Associates
Company Secretaries**



(Sachin Agarwal)
Partner
FCS No.: 5774
C.P No.: 5910

Date: 24.04.2018
Place: New Delhi