

27th April, 2020

Listing Department National Stock Exchange of India Limited "Exchange Plaza" Bandra Kurla Complex Bandra (E), Mumbai- 400051 NSE SYMBOL - SPLIL	Listing Department Bombay Stock Exchange Limited 1 st Floor, P.J. Towers, Dalal Street, Mumbai- 400001 SCRIP- 532651
--	--

Subject- Compliance Certificate under Regulation 40(9) of Securities and Exchange Board of India (Listing Obligation and Disclosures Requirements, Regulation, 2015 for the half year ended 31st March, 2020

Dear Sir/Madam,

As required under Regulation 40(9) of the SEBI (Listing Obligations and Disclosures Requirements) Regulation, 2015, we enclose herewith a certificate under Regulation 40(9), issued by Registrar & Share Transfer Agent (KFIN Technologies Private Limited) along with certificate issued by Agarwal S. & Associates, Practicing Company Secretaries, for the half year ended 31st March, 2020

Kindly take it on record.

Thanking You

Truly Yours

For, **SPL Industries Limited**



Ashish Yadav

(Company Secretary)



R:/KFIN/NMWL/TRF/40(9)

DATE:21/04/2020

THE COMPANY SECRETARY
SPL INDUSTRIES LIMITED
202, IIND FLOOR
VIKRAMADITYA TOWER
ALAKNANDA MARKET
KALKAJI, NEW DELHI
110019

Dear Sir/Madam

We hereby certify that during the past 6 months from 01.10.2019 to 31.03.2020 there were No transfers received for registration for the half year period ending 31st March 2020. You are requested to file the same immediately with Stock Exchanges where your Company's shares are listed. This certificate issued as per the "Regulation 40(9) of the SEBI (LODR) Regulation, 2015".

Thanking You

Yours faithfully,
for KFin Technologies Private Limited.



Suresh Babu D
Deputy Manager

KFin Technologies Private Limited

(Formerly known as "Karvy Fintech Private Limited")

Registered & Corporate Office

Selenium Building, Tower-B, Plot No- 31 & 32, Financial District, Nanakramguda, Serilingampally, Hyderabad, Rangareddi, Telangana, India, 500032.

Ph : +91 40 6716 2222, 7961 1000 | <https://www.kfintech.com> | CIN : U72400TG2017PTC117649



To,
THE BOARD OF DIRECTORS
SPL INDUSTRIES LIMITED
OFFICE NO- 202, IIND FLOOR, VIKRAMADITYA TOWER,
ALAKNANDA MARKET, KALKAJI, DELHI - 110019

Certificate under Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulation, 2015 for the Half Year ended 31st March, 2020

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to “**M/s SPL Industries Limited**” having its Registered Office at Office No. 202, IInd Floor, Vikramaditya Tower, Alaknanda Market, Kalkaji, New Delhi 110019 maintained by “**M/s. KFIN Technologies Private Limited**”, Registrar and Share Transfer Agents (RTA) of the Company, (duly appointed Share Transfer Agent & Registrar for physical work & electronic connectivity), pertaining to transfer of equity shares of the Company for the period from “**1st October 2019 to 31st March, 2020**” and based on the compliance certificate/information received from RTA, for the purpose of issuing a Certificate as per Clause 40 (9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulation, 2015, we hereby certify that the Company has delivered during half year ended on **31st March, 2020**:

- A) Share/Debenture Certificate(s) relating to the transfer of Shares/Debentures received during the period from “**1st October 2019 to 31st March, 2020**” as entered in the Memorandum of Transfers has been issued including the memorandum of objection in few cases, within stipulated time from respective date of lodgment: There were NIL requests received during half year ended on 31st March, 2020.
- B) Share Certificate(s) in respect of request(s) for issue of Duplicate/Split/Consolidation and Sub-division of equity share certificate(s) etc. during the period from “**1st October 2019 to 31st March, 2020**” have been issued within stipulated time as prescribed under Listing Regulations: There were NIL requests received during half year ended on 31st March, 2020.

FOR AGARWAL S. & ASSOCIATES
Company Secretaries
ICSI Unique Code: P2003DE049100

KARISHMA SINGH Digitally signed by
KARISHMA SINGH
Date: 2020.04.21
15:46:57 +05'30'

CS Karishma Singh
Partner
ACS 26054
CP 16055

Place: **New Delhi**
Date: **April 21, 2020**

UDIN : A026054B000170055